

# Algorithm Synthesis by Lazy Thinking: Case Study Gröbner Bases

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**Algorithm-Supported Mathematical Theory Exploration**

**An "Algorithm" for Algorithm Synthesis**

**Synthesis of a Gröbner Bases Algorithm**

**Conclusion**

## Algorithm-Supported Mathematical Theory Exploration

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## Algorithm-Supported ("Automated") Mathematical Theory Exploration versus Automated Theorem Proving

Trento 1999, Talk by B.B.: Mathematical Theory Exploration # Theorem Proving

Starting from some given mathematical concepts and mathematical knowledge on these concepts [within a uniform logical language \(predicate logic\)](#),

- invent [definitions](#) (axioms) of new concepts
- invent and prove / disprove [propositions](#) on these concepts
- invent [problems](#)
- invent and verify [algorithms](#) for problems
- store and re-use the definitions, propositions, problems, algorithms in [structured knowledge libraries](#)

→ "MKM" (Mathematical Knowledge Management): 2001 RISC, 2003 Bertinoro, 2004 Bialowieza

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## The Theorema Project

The *Theorema project*: Aims at computer-supported mathematical theory exploration.

The *Theorema group*: B. B. (leader), T. Jebelean, W. Windsteiger, T. Kutsia, F. Piroi, M. Rosenkranz, G. Regensburger, M. Giese, and PhD students.

Motivation: Algorithm-support for the exploration of "real" mathematics, e.g. Gröbner bases theory.

Paradigm: (Proved) proof generators for special areas of mathematics. (See B.B. talk at TYPES Workshop in Nijmegen, Nov. 3, 2004).

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## Emphasis of this Talk

(Partially) automated invention of algorithms from problem specifications.

The method presented ("Lazy Thinking") can be implemented in any reasoning system, which provides:

- access to the proof objects even in the case of failing proofs (for the algorithmic extraction of "sub-requirements")

- a "natural" proof style (temporary assumptions and temporary goals)

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## The Algorithm Invention ("Synthesis") Problem

Given a problem specification  $P$  (in predicate logic), find an algorithm  $A$  such that

$$\forall_x P[x, A[x]].$$

Examples of specifications  $P$ :

```
P[x, y] ⇔ is-greater[x, y]
P[x, y] ⇔ is-sorted-version[x, y]
P[x, y] ⇔ has-derivative[x, y]
P[x, y] ⇔ are-factors-of[x, y]
P[x, y] ⇔ is-Gröbner-basis[x, y]
....
```

A general algorithm  $S$  for "all"  $P$  cannot exist but ...

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## Literature

There is a rich literature on algorithm synthesis methods, see survey

[Basin et al. 2004] D. Basin, Y. Deville, P. Flener, A. Hamfelt, J. F. Nilsson. Synthesis of Programs in Computational Logic. In: M. Bruynooghe, K. K. Lau (eds.), Program Development in Computational Logic, Lecture Notes in Computer Science, Vol. 3049, Springer, 2004, pp. 30-65.

Our method is in the class of "scheme-based" methods. Closest (but essentially different):

[Lau et al. 1999] K. K. Lau, M. Ornaghi, S. Tärnlund. Steadfast logic programs. Journal of Logic Programming, 38/3, 1999, pp. 259-294.

Work in the Group of A. Bundy, Critics.

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## The "Lazy Thinking" Method for Algorithm Synthesis (BB 2001): Intuition

"Lazy Thinking" Method for Algorithm Synthesis = My Advice to "Students" (= "Computers") How to Invent Algorithms

Given: A problem P.

Find: An algorithm A for P.

- ♣ Completely understand the problem. ("Specification" of the problem.)
- ♣ Learn how to prove.
- ♣ Collect (prove) "complete" knowledge on the auxiliary notion appearing in the problem.
- ♣ Consider known fundamental ideas of how to structure algorithms in terms of subalgorithms ("algorithm schemes").  
Try one scheme A after the other.
- ♣ Try to prove that A solves P: From the [failing proof](#) construct specifications of the subalgorithms.

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## The "Lazy Thinking" Method for Algorithm Synthesis: Sketch

Given a problem specification P

- consider various "algorithm schemes" for A, e.g.
 
$$A[\langle \rangle] = \mathbf{c}$$

$$\forall_{\mathbf{x}} A[\langle \mathbf{x} \rangle] = \mathbf{s}[\langle \mathbf{x} \rangle]$$

$$\forall_{\mathbf{x}, \bar{\mathbf{y}}} (A[\langle \mathbf{x}, \mathbf{y}, \bar{\mathbf{z}} \rangle] = \mathbf{i}[\mathbf{x}, \mathbf{y}, A[\langle \mathbf{y}, \bar{\mathbf{z}} \rangle]])$$
- and try to **prove (automatically)**  $\forall_{\mathbf{x}} P[\mathbf{x}, A[\mathbf{x}]]$ .
- This proof will normally **fail** because nothing is known on the unspecified sub-algorithms c, s, i, ... in the algorithm scheme.
- From the temporary assumptions and goals in the failing proof situation **(automatically) generate such specifications for the unspecified sub-algorithms** c, s, i, ... that would make the proof possible.

Now, apply the method recursively to the auxiliary functions.

## Example: Synthesis of Merge-Sort [BB et al. 2003]

**Problem:** Synthesize "sorted" such that

$$\forall_{\mathbf{x}} \text{is-sorted-version}[\mathbf{x}, \text{sorted}[\mathbf{x}]].$$

("Correctness Theorem")

**Knowledge on Problem:**

$$\forall_{\mathbf{x}, \mathbf{y}} \left( \text{is-sorted-version}[\mathbf{x}, \mathbf{y}] \Leftrightarrow \begin{array}{l} \text{is-sorted}[\mathbf{y}] \\ \text{is-permuted-version}[\mathbf{x}, \mathbf{y}] \end{array} \right)$$

$$\text{is-sorted}[\langle \rangle]$$

$$\forall_{\mathbf{x}} \text{is-sorted}[\langle \mathbf{x} \rangle]$$

$$\forall_{\mathbf{x}, \mathbf{y}, \bar{\mathbf{z}}} \left( \text{is-sorted}[\langle \mathbf{x}, \mathbf{y}, \bar{\mathbf{z}} \rangle] \Leftrightarrow \begin{array}{l} \mathbf{x} \geq \mathbf{y} \\ \text{is-sorted}[\langle \mathbf{y}, \bar{\mathbf{z}} \rangle] \end{array} \right)$$

etc.

## An Algorithm Scheme: Divide and Conquer

$$\forall x \left( \text{sorted}[x] = \begin{cases} \mathbf{s}[x] & \Leftarrow \text{is-trivial-tuple}[x] \\ \mathbf{m}[\text{sorted}[\mathbf{l}[x]], \text{sorted}[\mathbf{r}[x]]] & \Leftarrow \text{otherwise} \end{cases} \right)$$

$\mathbf{s}, \mathbf{m}, \mathbf{l}, \mathbf{r}$  are unknowns.

We Now Start Proving the Correctness Theorem and Analyze the Failing Proof: see notebooks with failing proofs.

## Automated Invention of Sufficient Specifications for the Subalgorithms

A simple (but amazingly powerful) **rule** ( $\mathbf{m}$  ... an unknown subalgorithm):

Collect temporary assumptions  $T[x_0, \dots, \mathbf{A}[\ ], \dots]$

and temporary goals  $G[x_0, \dots, \mathbf{m}[\mathbf{A}[\ ]]]$

and produces specification

$$\forall x, \dots, \mathbf{Y}, \dots \left( T[x, \dots, \mathbf{Y}, \dots] \Rightarrow G[\mathbf{Y}, \dots, \mathbf{m}[\mathbf{Y}]] \right).$$

Details: see papers [BB 2003] and example.

## The Result of Applying Lazy Thinking in the Sorting Example

Lazy Thinking, **automatically** (in approx. 2 minutes on a laptop using the *Theorema* system), finds the following specifications for the sub-algorithms that provenly guarantee the correctness of the above algorithm (scheme):

$$\forall x \left( \text{is-trivial-tuple}[x] \Rightarrow \mathbf{s}[x] = x \right)$$

$$\forall y, z \left( \begin{array}{l} \text{is-sorted}[y] \\ \text{is-sorted}[z] \end{array} \Rightarrow \begin{array}{l} \mathbf{m}[y, z] \\ \mathbf{m}[y, z] \approx (y \times z) \end{array} \right)$$

$$\forall_x (l[x] \approx r[x] \approx x)$$

Note: the specifications generated are not only sufficient but natural !

The four proof notebooks generated automatically by Theorema that develop these specifications successively, are given in the appendix.

## What Do We Have Now?

- **Case A:** We find algorithms **S, M, L, R** in our knowledge base for which the properties specified above for **s, m, l, r** are already contained in the knowledge base or can be derived (proved) from the knowledge base.

In this case, we are done, i.e. we have synthesized a sorting algorithm.

- **Case B:** We do not find such algorithms **S, M, L, R** in our knowledge base.

In this case, we apply Lazy Thinking again in order to synthesize appropriate **s, m, l, r**

until we arrive at sub-sub-...-algorithms in our knowledge base (e.g. the basic operations of tuple theory like append, prepend etc.)

Case B can be avoided, if we proceed systematically bottom-up ("complete theory exploration" in layers).

## Example: Synthesis of Insertion-Sort

Synthesize A such that

$$\forall_x \text{is-sorted-version}[x, A[x]].$$

Algorithm Scheme: "simple recursion"

$$\begin{aligned} A[\langle \rangle] &= \mathbf{c} \\ \forall_x A[\langle x \rangle] &= \mathbf{s}[\langle x \rangle] \\ \forall_{x, \bar{y}} (A[\langle x, \bar{y} \rangle] &= \mathbf{l}[x, A[\langle \bar{y} \rangle]]) \end{aligned}$$

Lazy Thinking, [automatically](#) (in approx. 2 minutes on a laptop using the *Theorema* system), finds the following specifications for the auxiliary functions

```

c = ⟨ ⟩
∀x ( s[⟨x⟩] = ⟨x⟩ )
∀x,ȳ ( is-sorted[⟨ȳ⟩] ⇒ is-sorted[ i[x, ⟨ȳ⟩] ] )

```

## How Far Can We Go With the Method ?

Can we automatically synthesize algorithms for [non-trivial problems](#)? What is "non-trivial"?

Example of a non-trivial problem: construction of Gröbner bases.

## The Problem of Constructing Gröbner Bases is Non-trivial

[Dozens of fundamental problems](#) in algebraic geometry, invariant theory, optimization, graph theory, coding theory, cryptography, statistics, symbolic summation, symbolic solution of differential equations, ... [can be reduced](#) to the construction of Gröbner bases. (Approx. 500 papers on the application of the Gröbner bases method.)

Some of these problems were [open for decades](#).

[Main algorithmic idea](#) of Gröbner bases theory: The "S-polynomials" together with the S-polynomial theorem.

(B.B. An Algorithmical Criterion for the Solvability of Algebraic Systems of Equations. *Aequationes mathematicae* 4/3, 1970.)

Hence, question: Can Lazy Thinking [automatically invent the notion of S-polynomial](#) and automatically deliver the S-polynomial [theorem](#) with proof?

## The Gröbner Bases Algorithm in *Mathematica*, Maple, Derive, ...

```

f1 = x y - 2 y z - z - 1;
f2 = y2 - x2 z + x z + 2;
f3 = z2 - y2 x + x + 1;

F = {f1, f2, f3};

```

```
{time, G} = GroebnerBasis[F] // Timing
```

```
{0. Second,
{-11 - 23 z - 14 z2 + 177 z3 + 134 z4 + 230 z5 - 383 z6 - 11 z7 - 744 z8 + 5 z9 -
348 z10 + 176 z11 - 64 z12 + 64 z13, 8370016703419 - 97318774930576 y +
207351025151780 z - 722400840178486 z2 + 155529319830493 z3 -
1676870964046611 z4 + 2617008001482037 z5 - 1102382418002270 z6 +
3982342741210737 z7 - 760100969952625 z8 + 2005641117585932 z9 -
1006208474754864 z10 + 397148351653504 z11 - 304335002718272 z12,
-7357801284994 - 12164846866322 x - 24858058005413 z -
54253437844244 z2 - 25982455463515 z3 + 137954693662980 z4 +
82182910700209 z5 + 274904331275643 z6 +
68396806233557 z7 + 121808189089485 z8 - 38565643382556 z9 +
8317571394992 z10 - 19345137946880 z11 - 4858008691392 z12}}
```

## The S-Polynomials

```
S-polynomial[x y - 2 y z - z - 1, y2 - x2 z + x z + 2] =
x z (x y - 2 y z - z - 1) + y (y2 - x2 z + x z + 2)
```

```
y (2 + y2 + x z - x2 z) + x z (-1 + x y - z - 2 y z)
```

```
x z (x y - 2 y z - z - 1) + y (y2 - x2 z + x z + 2) // Expand
```

```
2 y + y3 - x z + x y z - x z2 - 2 x y z2
```

```

S-polynomial[g1, g2] =
  form the
  least-common-multiple[leading-power-product[g1], leading-power-product[g2]]
  and then ...

```

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## The Problem of Constructing Gröbner Bases

Find algorithm `Gb` such that

$$\forall \text{is-finite}[F] \left( \begin{array}{l} \text{is-finite}[Gb[F]] \\ \text{is-Gröbner-basis}[Gb[F]] \\ \text{ideal}[F] = \text{ideal}[Gb[F]] \end{array} \right)$$

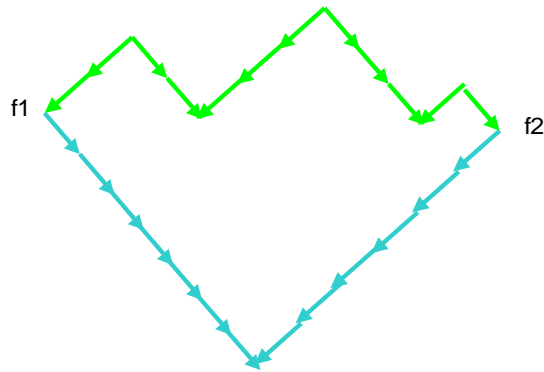
Definitions [BB 1965] :

```
is-Gröbner-basis[G] ⇔ is-confluent[→G].
```

$\rightarrow_G$  ... a division step.

## Confluence of Division $\rightarrow_G$

`is-confluent`[  $\rightarrow$  ] :  $\Leftrightarrow \forall_{f1, f2} (f1 \leftrightarrow^* f2 \Rightarrow f1 \downarrow^* f2)$



## Knowledge on the Concepts Involved

$h1 \rightarrow_G h2 \Rightarrow p . h1 \rightarrow_G p . h2$

etc.

## Algorithm Scheme "Critical Pair / Completion"

```

A[F] = A[F, pairs[F]]
A[F, ⟨⟩] = F

A[F, ⟨⟨g1, g2⟩, p̄⟩] =
  where [f = lc[g1, g2], h1 = trd[rd[f, g1], F], h2 = trd[rd[f, g2], F],
        { A[F, ⟨p̄⟩]                                     ← h1 = h2
          A[F - df[h1, h2], ⟨p̄⟩] × ⟨⟨Fk, df[h1, h2]⟩ | k=1, ..., |F| ⟩ } ← otherwise ]

```

This scheme can be tried in any domain, in which we have a reduction operation  $rd$  that depends on sets  $F$  of objects and a Noetherian relation  $>$  which interacts with  $rd$  in the following natural way:

$$\forall_{f, g} (f > rd[f, g]).$$

## The Essential Problem

The problem of synthesizing a Gröbner bases algorithm can now be also stated by asking whether, starting with the proof of

$$\forall_F \left( \begin{array}{l} \text{is-finite}[A[F]] \\ \text{is-Gröbner-basis}[A[F]] \\ \text{ideal}[F] = \text{ideal}[A[F]] \end{array} \right),$$

we can *automatically produce the idea* that

$$lc[g1, g2] = lcm[lp[g1], lp[g2]]$$

and

$$df[h1, h2] = h1 - h2$$

and prove that the idea is correct.

## Now Start the (Automated) Correctness Proof

With current theorem proving technology, in the *Theorema* system (and other provers), the proof attempt could be done automatically. (Ongoing PhD thesis of A. Craciun.)

## Details

It should be clear that, if the algorithm terminates, the final result is a finite set (of polynomials)  $G$  that has the property

$$\forall_{g_1, g_2 \in G} \left( \text{where } [f = \text{lc}[g_1, g_2], h_1 = \text{trd}[\text{rd}[f, g_1], F], \right. \\ \left. h_2 = \text{trd}[\text{rd}[f, g_2], F], \bigvee \left\{ \begin{array}{l} h_1 = h_2 \\ \text{df}[h_1, h_2] \in G \end{array} \right\} \right) \cdot$$

We now try to prove that, if  $G$  has this property, then

```
is-finite[G],
ideal[F] = ideal[G],
is-Gröbner-basis[G],
  i.e. is-Church-Rosser[→G].
```

Here, we only deal with the third, most important, property.

## Using Available Knowledge

Using Newman's lemma and some elementary properties it can be shown that it is sufficient to prove

$$\text{is-Church-Rosser}[\rightarrow_G] \Leftrightarrow \forall_p \forall_{f_1, f_2} \left( \left( \left\{ \begin{array}{l} p \rightarrow f_1 \\ p \rightarrow f_2 \end{array} \right\} \Rightarrow f_1 \downarrow^* f_2 \right) \right).$$

## The (Automated) Proof Attempt

Let now the power product  $p$  and the polynomials  $f_1, f_2$  be arbitrary but fixed and assume

$$\begin{cases} p \rightarrow_G f_1 \\ p \rightarrow_G f_2. \end{cases}$$

We have to find a polynomial  $g$  such that

$$\begin{aligned} f_1 &\rightarrow_G^* g, \\ f_2 &\rightarrow_G^* g. \end{aligned}$$

From the assumption we know that there exist polynomials  $g_1$  and  $g_2$  in  $G$  such that

$$\begin{aligned} lp[g_1] &| p, \\ f_1 &= rd[p, g_1], \\ lp[g_2] &| p, \\ f_2 &= rd[p, g_2]. \end{aligned}$$

From the final situation in the algorithm scheme we know that for these  $g_1$  and  $g_2$

$$\bigvee \begin{cases} h_1 = h_2 \\ df[h_1, h_2] \in G, \end{cases}$$

where

$$\begin{aligned} h_1 &:= trd[f_1', G], f_1' := rd[lc[g_1, g_2], g_1], \\ h_2 &:= trd[f_2', G], f_2' := rd[lc[g_1, g_2], g_2]. \end{aligned}$$

## Case $h_1=h_2$

$$\begin{aligned} lc[g_1, g_2] \rightarrow_{g_1} rd[lc[g_1, g_2], g_1] \rightarrow_G^* trd[rd[lc[g_1, g_2], g_1], G] = \\ trd[rd[lc[g_1, g_2], g_2], G] \leftarrow_G^* rd[lc[g_1, g_2], g_2] \leftarrow_{g_2} lc[g_1, g_2]. \end{aligned}$$

(Note that here we used the requirements  $rd[lc[g_1, g_2], g_1] < lc[g_1, g_2]$  and  $rd[lc[g_1, g_2], g_2] < lc[g_1, g_2]$ .)

Hence, by elementary properties of polynomial reduction,

$$\bigvee_{a, q} ( a q lc[g_1, g_2] \rightarrow_{g_1} a q rd[lc[g_1, g_2], g_1] \rightarrow_G^* a q trd[rd[lc[g_1, g_2], g_1], G] = \\ a q trd[rd[lc[g_1, g_2], g_2], G] \leftarrow_G^* a q rd[lc[g_1, g_2], g_2] \leftarrow_{g_2} a q lc[g_1, g_2] ).$$

Now we are stuck in the proof.

## Now Use the Specification Generation Algorithm

Using the above specification generation rule, we see that we could proceed successfully with the proof if  $lc[g1, g2]$  satisfied the following requirement

$$\forall_{p, g1, g2} \left( \left( \left\{ \begin{array}{l} lp[g1] \mid p \\ lp[g2] \mid p \end{array} \right\} \Rightarrow \left( \exists_{a, q} (p = a \ q \ lc[g1, g2]) \right) \right) \right), \quad (lc \text{ requirement})$$

With such an  $lc$ , we then would have

$$p \rightarrow_{g1} rd[p, g1] = a \ q \ rd[lc[g1, g2], g1] \rightarrow_{g^*} a \ q \ trd[rd[lc[g1, g2], g1], G] = \\ a \ q \ trd[rd[lc[g1, g2], g2], G] \leftarrow_{g^*} a \ q \ rd[lc[g1, g2], g2] = rd[p, g2] \leftarrow_{g2} p$$

and, hence,

$$f1 \rightarrow_{g^*} a \ q \ trd[rd[lc[g1, g2], g1], G],$$

$$f2 \rightarrow_{g^*} a \ q \ trd[rd[lc[g1, g2], g1], G],$$

i.e. we would have found a suitable  $g$ .

## Summarize the (Automatically Generated) Specifications of the Subalgorithm $lc$

( $lc$  requirement), which also could be written in the form:

$$\forall_{p, g1, g2} \left( \left( \left\{ \begin{array}{l} lp[g1] \mid p \\ lp[g2] \mid p \end{array} \right\} \Rightarrow (lc[g1, g2] \mid p) \right) \right),$$

and

$$lp[g1] \mid lc[g1, g2], \\ lp[g2] \mid lc[g1, g2],$$

which is a consequence of

$$\begin{aligned} \text{rd}[\text{lc}[g1, g2], g1] &< \text{lc}[g1, g2], \\ \text{rd}[\text{lc}[g1, g2], g2] &< \text{lc}[g1, g2]. \end{aligned}$$

## Summarize Again

For synthesizing an algorithm for the Gröbner bases problem it suffices to find an lc satisfying

$$\forall_{p, g1, g2} \left( \left( \left\{ \begin{array}{l} \text{lp}[g1] \mid p \\ \text{lp}[g2] \mid p \end{array} \right\} \Rightarrow (\text{lc}[g1, g2] \mid p) \right), \right.$$

and

$$\begin{aligned} \text{lp}[g1] \mid \text{lc}[g1, g2], \\ \text{lp}[g2] \mid \text{lc}[g1, g2]. \end{aligned}$$

This problem can be solved by any high-school student (or university professor)! No knowledge on Gröbner bases theory necessary!

## A Suitable lc

$$\text{lc}_p[g1, g2] = \text{lcm}[\text{lp}[g1], \text{lp}[g2]]$$

is a suitable function that satisfies the above requirements.

**Eureka! The crucial function lc (the "critical pair" function) in the critical pair / completion algorithm scheme has been synthesized automatically!**

## Case $h1 \neq h2$

In this case,  $\text{df}[h1, h2] \in G$ :

In this part of the proof (which is much easier) we are basically stuck right at the beginning. By the requirement generation algorithm we obtain the following requirement for df:

$$\forall_{h1, h2} (h1 \downarrow_{\{\text{df}[h1, h2]\}} * h2) \quad (\text{df requirement}).$$

---

## Looking to the Knowledge Base for a Suitable $df$

(Looking to the knowledge base of elementary properties of polynomial reduction, it is now easy to find a function  $df$  that satisfies (df requirement), namely

$$df[h1, h2] = h1 - h2,$$

because, in fact,

$$\forall_{f,g} (f \downarrow_{\{f-g\}} * g).$$

Eureka! The function  $df$  (the "completion" function) in the critical pair / completion algorithm scheme has been "automatically" synthesized!

## Algorithm-Supported Mathematical Theory Exploration

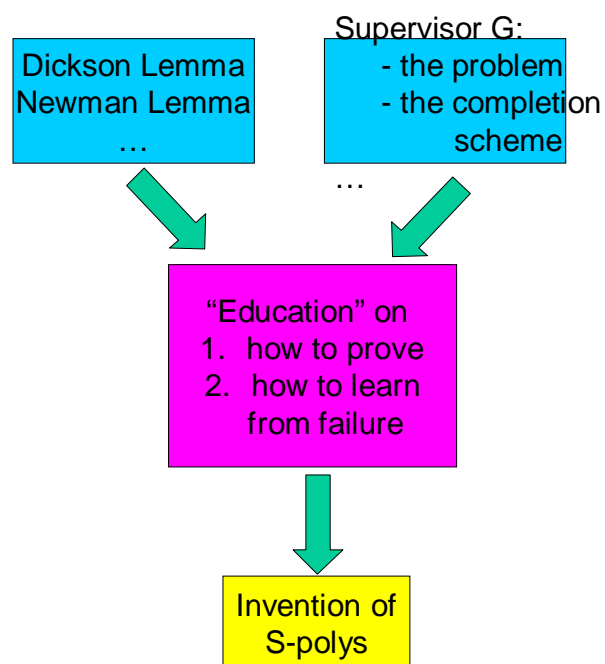
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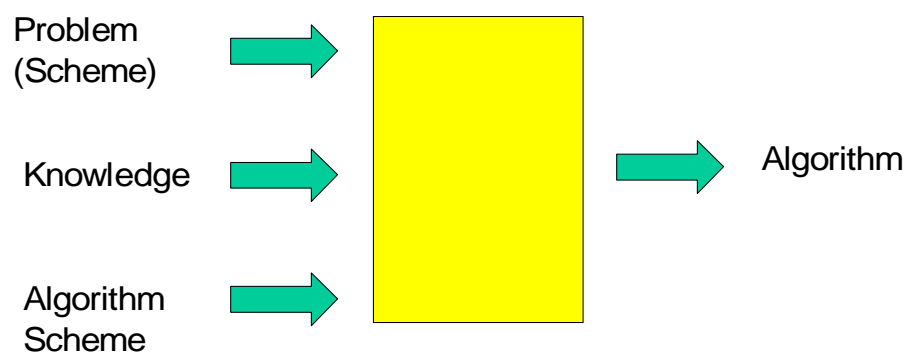
A way of looking at it ("what would have happened if ..."):



Pairs idea? (The CPC algorithm scheme did not really exist at that time.)

$$\begin{aligned}
 & A[F, \langle \langle g1, g2 \rangle, \bar{p} \rangle] = \\
 & \text{where } [f = \text{lc}[g1, g2], h1 = \text{trd}[\text{rd}[f, g1], F], h2 = \text{trd}[\text{rd}[f, g2], F], \\
 & \left\{ \begin{array}{l} A[F, \langle \bar{p} \rangle] \\ A[F - \text{df}[h1, h2], \langle \bar{p} \rangle \times \left\langle \langle F_k, \text{df}[h1, h2] \rangle \mid_{k=1, \dots, |F|} \right\rangle] \end{array} \right\} \leftarrow \begin{array}{l} h1 = h2 \\ \text{otherwise} \end{array} \right]
 \end{aligned}$$

## Research Topics



- **Libraries** of algorithm **schemes**.

More generally, libraries of formulae schemes for definitions, propositions, problems, and algorithms.

- **Case studies** of problem (schemes), knowledge, algorithm schemes and how they produce algorithms.
- How well are **current reasoning systems suited** for supporting this approach to algorithm (definition, theorem, problem, ...) synthesis?
- Improved **algorithms for generating problem specifications** from failing proofs.

## Special Semester on Gröbner Bases at RICAM and RISC (Feb - July 2006)

8 Workshops on various special topics in GB theory: One workshop on

"[Formal Gröbner Bases Theory](#)", March 6 - March 10, 2006.



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## ■ On Theorema

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## ■ On Theory Exploration and Algorithm Synthesis

[Buchberger 2000]

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[Buchberger 2003]

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## Appendix: The Proof Notebooks Automatically Generated by Theorema for the Synthesis of the Merge-Sort Algorithm

### Notebook 1:

### Notebook 2

Comment on Notebook 2: Note that in the knowledge base (i.e. the formulae listed under "assumptions"), the specification (Lemma (conjecture15): conjecture15) is now contained, which describes the specification automatically generated (from the failing proof in Notebook 1) for the function 'special'. The proof then proceeds as in Notebook 1 but succeeds to get over the point at which the first proof was stuck.

Prove:

(Theorem (correctness of sort))  $\forall_{\text{is-tuple}[\mathbf{x}]} \text{is-sorted-version}[\mathbf{x}, \text{sorted}[\mathbf{x}]],$

under the assumptions:

(Definition (is sorted): 1)  $\text{is-sorted}[\langle \rangle],$

(Definition (is sorted): 2)  $\forall_{\mathbf{x}} \text{is-sorted}[\langle \mathbf{x} \rangle],$

(Definition (is sorted): 3)  $\forall_{\mathbf{x}, \mathbf{y}, \bar{\mathbf{z}}} (\text{is-sorted}[\langle \mathbf{x}, \mathbf{y}, \bar{\mathbf{z}} \rangle] \Leftrightarrow \mathbf{x} \geq \mathbf{y} \wedge \text{is-sorted}[\langle \mathbf{y}, \bar{\mathbf{z}} \rangle]),$

... and all the formulae in the assumptions of Notebook 1,

(Lemma (closure of merge))  $\forall_{\substack{\text{is-tuple}[\mathbf{x}] \\ \text{is-tuple}[\mathbf{y}]}} \text{is-tuple}[\text{merged}[\mathbf{x}, \mathbf{y}]],$

(Lemma (conjecture15): conjecture15)  $\forall_{\substack{\mathbf{x1} \\ \text{is-tuple}[\mathbf{x1}]}} (\text{is-trivial-tuple}[\mathbf{x1}] \Rightarrow (\text{special}[\mathbf{x1}] = \mathbf{x1})).$

We try to prove (Theorem (correctness of sort)) by applying several proof methods for sequences.

We try to prove (Theorem (correctness of sort)) by well-founded induction on  $X$ .

Well-founded induction:

Assume:

(1)  $\text{is-tuple}[\langle \overline{X_0} \rangle]$ .

Well-Founded Induction Hypothesis:

(2)  $\forall_{\text{is-tuple}[x2]} (\langle \overline{X_0} \rangle > x2 \Rightarrow \text{is-sorted-version}[x2, \text{sorted}[x2]])$

We have to show:

(3)  $\text{is-sorted-version}[\langle \overline{X_0} \rangle, \text{sorted}[\langle \overline{X_0} \rangle]]$ .

We try to prove (3) by case distinction using (Algorithm (sorted)). However, the proof fails in at least one of the cases.

Case 1:

(4)  $\text{is-trivial-tuple}[\langle \overline{X_0} \rangle]$ .

Hence, we have to prove

(5)  $\text{is-sorted-version}[\langle \overline{X_0} \rangle, \text{special}[\langle \overline{X_0} \rangle]]$ .

Formula (4), by (Proposition (trivial tuples are sorted)), implies:

(9)  $\text{is-sorted}[\langle \overline{X_0} \rangle]$ .

Formula (4), by (Proposition (only trivial tuple permuted version of itself)), implies:

(10)  $\forall_{\mathbf{Y}} ((\mathbf{Y} = \langle \overline{X_0} \rangle) \Rightarrow \mathbf{Y} \approx \langle \overline{X_0} \rangle)$ .

Formula (1) and (4), by (Lemma (closure of special)), implies:

(11)  $\text{is-tuple}[\text{special}[\langle \overline{X_0} \rangle]]$ .

Formula (1) and (4), by (Lemma (conjecture15): conjecture15), implies:

(13)  $\text{special}[\langle \overline{X_0} \rangle] = \langle \overline{X_0} \rangle$ .

Formula (5), using (13), is implied by:

(21)  $\text{is-sorted-version}[\langle \overline{X_0} \rangle, \langle \overline{X_0} \rangle]$ .

Formula (21), using (Definition (is sorted version)), is implied by:

(22)  $\text{is-tuple}[\langle \overline{X_0} \rangle] \wedge \langle \overline{X_0} \rangle \approx \langle \overline{X_0} \rangle \wedge \text{is-sorted}[\langle \overline{X_0} \rangle]$ .

We prove the individual conjunctive parts of (22):

Proof of (22.1)  $\text{is-tuple}[\langle \overline{X_0} \rangle]$ :

Formula (22.1) is true because it is identical to (1).

Proof of (22.2)  $\langle \overline{X_0} \rangle \approx \langle \overline{X_0} \rangle$ :

Formula (22.2) is true by (10).

Proof of (22.3)  $\text{is-sorted}[\langle \overline{X_0} \rangle]$ :

Formula (22.3) is true because it is identical to (9).

Case 2:

(6)  $\neg \text{is-trivial-tuple}[\langle \overline{X_0} \rangle]$ .

Hence, we have to prove

$$(8) \text{is-sorted-version}[\langle \overline{X_0} \rangle, \text{merged}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]], \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]]]$$

From (6), by (2), (Lemma (splits are tuples): 1), (Lemma (splits are tuples): 2), (Lemma (splits are shorter): 1), (Lemma (splits are shorter): 1) and (Lemma (splits are shorter): 2), we obtain:

$$(23) \text{is-sorted-version}[\text{left-split}[\langle \overline{X_0} \rangle], \text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]]],$$

$$(24) \text{is-sorted-version}[\text{right-split}[\langle \overline{X_0} \rangle], \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]],$$

From (23), by (Definition (is sorted version)), we obtain:

$$(25)$$

$$\text{is-tuple}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]]] \wedge \text{left-split}[\langle \overline{X_0} \rangle] \approx \text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]] \wedge \text{is-sorted}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]]]$$

From (24), by (Definition (is sorted version)), we obtain:

$$(26) \text{is-tuple}[\text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]] \wedge \text{right-split}[\langle \overline{X_0} \rangle] \approx \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]] \wedge \text{is-sorted}[\text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]]$$

From (1) and (8), using (Definition (is sorted version)), is implied by:

$$(41) \text{is-tuple}[\text{merged}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]], \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]]] \wedge \text{merged}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]], \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]] \approx \langle \overline{X_0} \rangle \wedge \text{is-sorted}[\text{merged}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]], \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]]]$$

Not all the conjunctive parts of (41) can be proved.

Proof of (41.1)  $\text{is-tuple}[\text{merged}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]], \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]]]$ :

(41.1), by (Lemma (closure of merge)) is implied by:

$$(42) \text{is-tuple}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]]] \wedge \text{is-tuple}[\text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]].$$

We prove the individual conjunctive parts of (42):

Proof of (42.1)  $\text{is-tuple}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]]]$ :

Formula (42.1) is true because it is identical to (25.1).

Proof of (42.2)  $\text{is-tuple}[\text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]]$ :

Formula (42.2) is true because it is identical to (26.1).

Proof of (41.3)  $\text{merged}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]], \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]] \approx \langle \overline{X_0} \rangle$ :

The proof of (41.3) fails. (The prover "QR" was unable to transform the proof situation.)

Proof of (41.4)

$\text{is-sorted}[\text{merged}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]], \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]]]$ :

Pending proof of (41.4).

□

### Notebook 3

Comment on Notebook 3: Note that in the knowledge base (i.e. the formulae listed under "assumptions"), the additional specification (Lemma (conjecture44): conjecture44) is now contained, which describes part of the specification automatically generated (from the failing proof in Notebook 2) for the functions 'left', 'right', and 'merge'. The proof then proceeds as in Notebook 2 but succeeds to get over the point at which the second proof was stuck.

Prove:

(Theorem (correctness of sort))  $\forall_{\text{is-tuple}[\mathbf{x}]} \text{is-sorted-version}[\mathbf{x}, \text{sorted}[\mathbf{x}]],$

under the assumptions:

(Definition (is sorted): 1)  $\text{is-sorted}[\langle \rangle],$

(Definition (is sorted): 2)  $\forall_{\mathbf{x}} \text{is-sorted}[\langle \mathbf{x} \rangle],$

(Definition (is sorted): 3)  $\forall_{\mathbf{x}, \mathbf{y}, \bar{\mathbf{z}}} (\text{is-sorted}[\langle \mathbf{x}, \mathbf{y}, \bar{\mathbf{z}} \rangle] \Leftrightarrow \mathbf{x} \geq \mathbf{y} \wedge \text{is-sorted}[\langle \mathbf{y}, \bar{\mathbf{z}} \rangle]),$

... and all the assumptions of Notebook 1 ...

(Lemma (closure of merge))  $\forall_{\substack{\text{is-tuple}[\mathbf{x}] \\ \text{is-tuple}[\mathbf{y}]}} \text{is-tuple}[\text{merged}[\mathbf{x}, \mathbf{y}]],$

(Lemma (conjecture15): conjecture15)

$\forall_{\substack{\mathbf{x1} \\ \text{is-tuple}[\mathbf{x1}]}} (\text{is-trivial-tuple}[\mathbf{x1}] \wedge \text{is-sorted}[\mathbf{x1}] \Rightarrow (\text{special}[\mathbf{x1}] = \mathbf{x1})),$

(Lemma (conjecture44): conjecture44)

$\forall_{\substack{\mathbf{x2}, \mathbf{x3}, \mathbf{x4} \\ \text{is-tuple}[\mathbf{x4}]}} (\text{is-tuple}[\mathbf{x2}] \wedge \text{left-split}[\mathbf{x4}] \approx \mathbf{x2} \wedge \text{is-sorted}[\mathbf{x2}] \wedge \text{is-tuple}[\mathbf{x3}] \wedge \text{right-split}[\mathbf{x4}] \approx \mathbf{x3} \wedge \text{is-sorted}[\mathbf{x3}] \wedge \neg \text{is-trivial-tuple}[\mathbf{x4}] \Rightarrow \text{merged}[\mathbf{x2}, \mathbf{x3}] \approx \mathbf{x4})$

We try to prove (Theorem (correctness of sort)) by well-founded induction on  $\mathbf{x}$ .

Well-founded induction:

Assume:

(1)  $\text{is-tuple}[\langle \overline{\mathbf{x0}} \rangle].$

Well-Founded Induction Hypothesis:

(2)  $\forall_{\text{is-tuple}[\mathbf{x3}]} (\langle \overline{\mathbf{x0}} \rangle > \mathbf{x3} \Rightarrow \text{is-sorted-version}[\mathbf{x3}, \text{sorted}[\mathbf{x3}]])$

We have to show:

(3)  $\text{is-sorted-version}[\langle \overline{\mathbf{x0}} \rangle, \text{sorted}[\langle \overline{\mathbf{x0}} \rangle]].$

We try to prove (3) by case distinction using (Algorithm (sorted)). However, the proof fails in at least one of the cases.

Case 1:

(4) `is-trivial-tuple` [ $\langle \overline{X_0} \rangle$ ].

Hence, we have to prove

(5) `is-sorted-version` [ $\langle \overline{X_0} \rangle$ , `special` [ $\langle \overline{X_0} \rangle$ ]].

Formula (4), by (Proposition (trivial tuples are sorted)), implies:

(9) `is-sorted` [ $\langle \overline{X_0} \rangle$ ].

Formula (4), by (Proposition (only trivial tuple permuted version of itself)), implies:

(10)  $\forall_{\mathbf{Y}} ((\mathbf{Y} = \langle \overline{X_0} \rangle) \Rightarrow \mathbf{Y} \approx \langle \overline{X_0} \rangle)$ .

Formula (1) and (4), by (Lemma (closure of special)), implies:

(11) `is-tuple` [`special` [ $\langle \overline{X_0} \rangle$ ]].

Formula (1) and (4), by (Lemma (conjecture15): conjecture15), implies:

(13) `special` [ $\langle \overline{X_0} \rangle$ ] =  $\langle \overline{X_0} \rangle$ .

Formula (5), using (13), is implied by:

(21) `is-sorted-version` [ $\langle \overline{X_0} \rangle$ ,  $\langle \overline{X_0} \rangle$ ].

Formula (21), using (Definition (is sorted version)), is implied by:

(22) `is-tuple` [ $\langle \overline{X_0} \rangle$ ]  $\wedge$   $\langle \overline{X_0} \rangle \approx \langle \overline{X_0} \rangle \wedge$  `is-sorted` [ $\langle \overline{X_0} \rangle$ ].

We prove the individual conjunctive parts of (22):

Proof of (22.1) `is-tuple` [ $\langle \overline{X_0} \rangle$ ]:

Formula (22.1) is true because it is identical to (1).

Proof of (22.2)  $\langle \overline{X_0} \rangle \approx \langle \overline{X_0} \rangle$ :

Formula (22.2) is true by (10).

Proof of (22.3) `is-sorted` [ $\langle \overline{X_0} \rangle$ ]:

Formula (22.3) is true because it is identical to (9).

Case 2:

(6)  $\neg$  `is-trivial-tuple` [ $\langle \overline{X_0} \rangle$ ].

Hence, we have to prove

(8) `is-sorted-version` [ $\langle \overline{X_0} \rangle$ ,  
`merged` [`sorted` [`left-split` [ $\langle \overline{X_0} \rangle$ ]], `sorted` [`right-split` [ $\langle \overline{X_0} \rangle$ ]]]] .

From (6) , by (2), (Lemma (splits are tuples): 1), (Lemma (splits are tuples): 2), (Lemma (splits are shorter): 1), (Lemma (splits are shorter): 1) and (Lemma (splits are shorter): 2), we obtain:

(23) `is-sorted-version` [`left-split` [ $\langle \overline{X_0} \rangle$ ], `sorted` [`left-split` [ $\langle \overline{X_0} \rangle$ ]]],

(24) `is-sorted-version` [`right-split` [ $\langle \overline{X_0} \rangle$ ], `sorted` [`right-split` [ $\langle \overline{X_0} \rangle$ ]]],

From (23), by (Definition (is sorted version)), we obtain:

(25)

$$\text{is-tuple}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]]] \wedge \\ \text{left-split}[\langle \overline{X_0} \rangle] \approx \text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]] \wedge \text{is-sorted}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]]]$$

From (24), by (Definition (is sorted version)), we obtain:

$$(26) \text{is-tuple}[\text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]] \wedge \\ \text{right-split}[\langle \overline{X_0} \rangle] \approx \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]] \wedge \\ \text{is-sorted}[\text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]]$$

From (1) and (8), using (Definition (is sorted version)), is implied by:

$$(41) \text{is-tuple}[\text{merged}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]], \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]] \wedge \\ \text{merged}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]], \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]] \approx \langle \overline{X_0} \rangle \wedge \\ \text{is-sorted}[\text{merged}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]], \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]]]$$

Not all the conjunctive parts of (41) can be proved.

Proof of (41.1)  $\text{is-tuple}[\text{merged}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]], \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]]$ :

(41.1), by (Lemma (closure of merge)) is implied by:

$$(42) \text{is-tuple}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]]] \wedge \text{is-tuple}[\text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]].$$

We prove the individual conjunctive parts of (42):

Proof of (42.1)  $\text{is-tuple}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]]]$ :

Formula (42.1) is true because it is identical to (25.1).

Proof of (42.2)  $\text{is-tuple}[\text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]]$ :

Formula (42.2) is true because it is identical to (26.1).

Proof of (41.2)  $\text{merged}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]], \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]] \approx \langle \overline{X_0} \rangle$ :

Formula (41.2), using (Lemma (conjecture44): conjecture44), is implied by:

(44)

$$\text{is-tuple}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]]] \wedge \text{left-split}[\langle \overline{X_0} \rangle] \approx \text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]] \wedge \\ \text{is-sorted}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]]] \wedge \text{is-tuple}[\text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]] \wedge \\ \text{right-split}[\langle \overline{X_0} \rangle] \approx \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]] \wedge \\ \text{is-sorted}[\text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]] \wedge \neg \text{is-trivial-tuple}[\langle \overline{X_0} \rangle]$$

We prove the individual conjunctive parts of (44):

Proof of (44.1)  $\text{is-tuple}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]]]$ :

Formula (44.1) is true because it is identical to (25.1).

Proof of (44.2)  $\text{left-split}[\langle \overline{X_0} \rangle] \approx \text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]]$ :

Formula (44.2) is true because it is identical to (25.1).

Proof of (44.3)  $\text{is-sorted}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]]]$ :

Formula (44.3) is true because it is identical to (25.3).

Proof of (44.4) `is-tuple[sorted[right-split[⟨X̄₀⟩]]]`:

Formula (44.4) is true because it is identical to (26.1).

Proof of (44.5) `right-split[⟨X̄₀⟩] ≈ sorted[right-split[⟨X̄₀⟩]]`:

Formula (44.5) is true because it is identical to (26.2).

Proof of (44.6) `is-sorted[sorted[right-split[⟨X̄₀⟩]]]`:

Formula (44.6) is true because it is identical to (26.2).

Proof of (44.7) `¬ is-trivial-tuple[⟨X̄₀⟩]`:

Formula (44.7) is true because it is identical to (6).

Proof of (41.3)

`is-sorted[merged[sorted[left-split[⟨X̄₀⟩]], sorted[right-split[⟨X̄₀⟩]]]`:

The proof of (41.3) fails. (The prover "QR" was unable to transform the proof situation.)

□

## Notebook 4

Comment on Notebook 4: Note that in the knowledge base (i.e. the formulae listed under "assumptions"), the additional specification (Lemma (conjecture46): conjecture46) is now contained, which describes the second part of the specification automatically generated (from the failing proof in Notebook 3) for the functions 'left', 'right', and 'merge'. The proof then proceeds as in Notebook 3 but succeeds to get over the point at which the third proof was stuck and, actually, proceeds until the successful end.

Prove:

(Theorem (correctness of sort))  $\forall_{\text{is-tuple}[\mathbf{x}]} \text{is-sorted-version}[\mathbf{x}, \text{sorted}[\mathbf{x}]],$

under the assumptions:

(Definition (is sorted): 1) `is-sorted[⟨⟩]`,

(Definition (is sorted): 2)  $\forall_{\mathbf{x}} \text{is-sorted}[\langle \mathbf{x} \rangle],$

(Definition (is sorted): 3)  $\forall_{\mathbf{x}, \mathbf{y}, \bar{\mathbf{z}}} (\text{is-sorted}[\langle \mathbf{x}, \mathbf{y}, \bar{\mathbf{z}} \rangle] \Leftrightarrow \mathbf{x} \geq \mathbf{y} \wedge \text{is-sorted}[\langle \mathbf{y}, \bar{\mathbf{z}} \rangle]),$

.... and all the assumptions appearing in Notebook 1 ...

(Lemma (closure of merge))  $\forall_{\substack{\text{is-tuple}[\mathbf{x}] \\ \text{is-tuple}[\mathbf{y}]}} \text{is-tuple}[\text{merged}[\mathbf{x}, \mathbf{y}]],$

(Lemma (conjecture15): conjecture15)

$\forall_{\substack{\mathbf{x1} \\ \text{is-tuple}[\mathbf{x1}]}} (\text{is-trivial-tuple}[\mathbf{x1}] \wedge \text{is-sorted}[\mathbf{x1}] \Rightarrow (\text{special}[\mathbf{x1}] = \mathbf{x1})),$

(Lemma (conjecture44): conjecture44)

$$\begin{aligned} & \forall_{\substack{x_2, x_3, x_4 \\ \text{is-tuple}[x_4]}} (\text{is-tuple}[x_2] \wedge \text{left-split}[x_4] \approx x_2 \wedge \\ & \text{is-sorted}[x_2] \wedge \text{is-tuple}[x_3] \wedge \text{right-split}[x_4] \approx x_3 \wedge \\ & \text{is-sorted}[x_3] \wedge \neg \text{is-trivial-tuple}[x_4] \Rightarrow \text{merged}[x_2, x_3] \approx x_4) \end{aligned}$$

(Lemma (conjecture46): conjecture46)

$$\begin{aligned} & \forall_{\substack{x_5, x_6, x_7 \\ \text{is-tuple}[x_7]}} (\text{is-tuple}[x_5] \wedge \text{left-split}[x_7] \approx x_5 \wedge \\ & \text{is-sorted}[x_5] \wedge \text{is-tuple}[x_6] \wedge \text{right-split}[x_7] \approx x_6 \wedge \text{is-sorted}[x_6] \wedge \\ & \neg \text{is-trivial-tuple}[x_7] \Rightarrow \text{is-sorted}[\text{merged}[x_5, x_6]]) \end{aligned}$$

We prove (Theorem (correctness of sort)) by well-founded induction on  $X$ .

Well-founded induction:

Assume:

$$(1) \text{is-tuple}[\langle \overline{X_0} \rangle].$$

Well-Founded Induction Hypothesis:

$$(2) \forall_{\text{is-tuple}[x_4]} (\langle \overline{X_0} \rangle > x_4 \Rightarrow \text{is-sorted-version}[x_4, \text{sorted}[x_4]])$$

We have to show:

$$(3) \text{is-sorted-version}[\langle \overline{X_0} \rangle, \text{sorted}[\langle \overline{X_0} \rangle]].$$

We prove (3) by case distinction using (Algorithm (sorted)).

Case 1:

$$(4) \text{is-trivial-tuple}[\langle \overline{X_0} \rangle].$$

Hence, we have to prove

$$(5) \text{is-sorted-version}[\langle \overline{X_0} \rangle, \text{special}[\langle \overline{X_0} \rangle]].$$

Formula (4), by (Proposition (trivial tuples are sorted)), implies:

$$(9) \text{is-sorted}[\langle \overline{X_0} \rangle].$$

Formula (4), by (Proposition (only trivial tuple permuted version of itself)), implies:

$$(10) \forall_{\mathbf{Y}} ((\mathbf{Y} = \langle \overline{X_0} \rangle) \Rightarrow \mathbf{Y} \approx \langle \overline{X_0} \rangle).$$

Formula (1) and (4), by (Lemma (closure of special)), implies:

$$(11) \text{is-tuple}[\text{special}[\langle \overline{X_0} \rangle]].$$

Formula (1) and (4), by (Lemma (conjecture15): conjecture15), implies:

$$(13) \text{special}[\langle \overline{X_0} \rangle] = \langle \overline{X_0} \rangle.$$

Formula (5), using (13), is implied by:

(21)  $\text{is-sorted-version}[\langle \overline{X_0} \rangle, \langle \overline{X_0} \rangle]$ .

Formula (21), using (Definition (is sorted version)), is implied by:

(22)  $\text{is-tuple}[\langle \overline{X_0} \rangle] \wedge \langle \overline{X_0} \rangle \approx \langle \overline{X_0} \rangle \wedge \text{is-sorted}[\langle \overline{X_0} \rangle]$ .

We prove the individual conjunctive parts of (22):

Proof of (22.1)  $\text{is-tuple}[\langle \overline{X_0} \rangle]$ :

Formula (22.1) is true because it is identical to (1).

Proof of (22.2)  $\langle \overline{X_0} \rangle \approx \langle \overline{X_0} \rangle$ :

Formula (22.2) is true by (10).

Proof of (22.3)  $\text{is-sorted}[\langle \overline{X_0} \rangle]$ :

Formula (22.3) is true because it is identical to (9).

Case 2:

(6)  $\neg \text{is-trivial-tuple}[\langle \overline{X_0} \rangle]$ .

Hence, we have to prove

(8)  $\text{is-sorted-version}[\langle \overline{X_0} \rangle, \text{merged}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]], \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]]]]$ .

From (6), by (2), (Lemma (splits are tuples): 1), (Lemma (splits are tuples): 2), (Lemma (splits are shorter): 1), (Lemma (splits are shorter): 1) and (Lemma (splits are shorter): 2), we obtain:

(23)  $\text{is-sorted-version}[\text{left-split}[\langle \overline{X_0} \rangle], \text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]]]$ ,

(24)  $\text{is-sorted-version}[\text{right-split}[\langle \overline{X_0} \rangle], \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]]$ ,

From (23), by (Definition (is sorted version)), we obtain:

(25)

$\text{is-tuple}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]]] \wedge \text{left-split}[\langle \overline{X_0} \rangle] \approx \text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]] \wedge \text{is-sorted}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]]]$ .

From (24), by (Definition (is sorted version)), we obtain:

(26)  $\text{is-tuple}[\text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]] \wedge \text{right-split}[\langle \overline{X_0} \rangle] \approx \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]] \wedge \text{is-sorted}[\text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]]$ .

From (1) and (8), using (Definition (is sorted version)), is implied by:

(41)  $\text{is-tuple}[\text{merged}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]], \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]]] \wedge \text{merged}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]], \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]] \approx \langle \overline{X_0} \rangle \wedge \text{is-sorted}[\text{merged}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]], \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]]]]$ .

We prove the individual conjunctive parts of (41):

Proof of (41.1)  $\text{is-tuple}[\text{merged}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]], \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]]]]$ :

(41.1), by (Lemma (closure of merge)) is implied by:

(42)  $\text{is-tuple}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]]] \wedge \text{is-tuple}[\text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]]$ .

We prove the individual conjunctive parts of (42):

Proof of (42.1) `is-tuple[sorted[left-split[⟨X̄₀⟩]]]`:

Formula (42.1) is true because it is identical to (25.1).

Proof of (42.2) `is-tuple[sorted[right-split[⟨X̄₀⟩]]]`:

Formula (42.2) is true because it is identical to (26.1).

Proof of (41.2) `merged[sorted[left-split[⟨X̄₀⟩]], sorted[right-split[⟨X̄₀⟩]]] ≈ ⟨X̄₀⟩`:

Formula (41.2), using (Lemma (conjecture44): conjecture44), is implied by:

(44)

$$\begin{aligned} & \text{is-tuple}[\text{sorted}[\text{left-split}[\langle \overline{X}_0 \rangle]]] \wedge \text{left-split}[\langle \overline{X}_0 \rangle] \approx \text{sorted}[\text{left-split}[\langle \overline{X}_0 \rangle]] \wedge \\ & \text{is-sorted}[\text{sorted}[\text{left-split}[\langle \overline{X}_0 \rangle]]] \wedge \text{is-tuple}[\text{sorted}[\text{right-split}[\langle \overline{X}_0 \rangle]]] \wedge \\ & \text{right-split}[\langle \overline{X}_0 \rangle] \approx \text{sorted}[\text{right-split}[\langle \overline{X}_0 \rangle]] \wedge \\ & \text{is-sorted}[\text{sorted}[\text{right-split}[\langle \overline{X}_0 \rangle]]] \wedge \neg \text{is-trivial-tuple}[\langle \overline{X}_0 \rangle] \end{aligned}$$

We prove the individual conjunctive parts of (44):

Proof of (44.1) `is-tuple[sorted[left-split[⟨X̄₀⟩]]]`:

Formula (44.1) is true because it is identical to (25.1).

Proof of (44.2) `left-split[⟨X̄₀⟩] ≈ sorted[left-split[⟨X̄₀⟩]]`:

Formula (44.2) is true because it is identical to (25.1).

Proof of (44.3) `is-sorted[sorted[left-split[⟨X̄₀⟩]]]`:

Formula (44.3) is true because it is identical to (25.3).

Proof of (44.4) `is-tuple[sorted[right-split[⟨X̄₀⟩]]]`:

Formula (44.4) is true because it is identical to (26.1).

Proof of (44.5) `right-split[⟨X̄₀⟩] ≈ sorted[right-split[⟨X̄₀⟩]]`:

Formula (44.5) is true because it is identical to (26.2).

Proof of (44.6) `is-sorted[sorted[right-split[⟨X̄₀⟩]]]`:

Formula (44.6) is true because it is identical to (26.2).

Proof of (44.7) `¬ is-trivial-tuple[⟨X̄₀⟩]`:

Formula (44.7) is true because it is identical to (6).

Proof of (41.3)

`is-sorted[merged[sorted[left-split[⟨X̄₀⟩]], sorted[right-split[⟨X̄₀⟩]]]`:

Formula (41.3), using (Lemma (conjecture46): conjecture46), is implied by:

(52)

$$\begin{aligned} & \text{is-tuple}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]]] \wedge \text{left-split}[\langle \overline{X_0} \rangle] \approx \text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]] \wedge \\ & \text{is-sorted}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]]] \wedge \text{is-tuple}[\text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]] \wedge \\ & \text{right-split}[\langle \overline{X_0} \rangle] \approx \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]] \wedge \\ & \text{is-sorted}[\text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]] \wedge \neg \text{is-trivial-tuple}[\langle \overline{X_0} \rangle] \end{aligned}$$

We prove the individual conjunctive parts of (52):

Proof of (52.1)  $\text{is-tuple}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]]]$ :

Formula (52.1) is true because it is identical to (25.1).

Proof of (52.2)  $\text{left-split}[\langle \overline{X_0} \rangle] \approx \text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]]$ :

Formula (52.2) is true because it is identical to (25..2).

Proof of (52.3)  $\text{is-sorted}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]]]$ :

Formula (52.3) is true because it is identical to (25.3).

Proof of (52.4)  $\text{is-tuple}[\text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]]$ :

Formula (52.4) is true because it is identical to (26.1).

Proof of (52.5)  $\text{right-split}[\langle \overline{X_0} \rangle] \approx \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]$ :

Formula (52.5) is true because it is identical to (26.2).

Proof of (52.6)  $\text{is-sorted}[\text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]]$ :

Formula (52.6) is true because it is identical to (26.3).

Proof of (52.7)  $\neg \text{is-trivial-tuple}[\langle \overline{X_0} \rangle]$ :

Formula (52.7) is true because it is identical to (6).

□